

A phase I study of oxaliplatin in combination with gemcitabine: correlation of clinical outcome with gene expression

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Abstract

Purpose Oxaliplatin has in vitro activity similar to or higher than other platinum agents. Preclinically, gemcitabine has demonstrated synergy when combined with platinum compounds. These facts formed the rationale for determining the maximum tolerated dose (MTD) of gemcitabine in combination with oxaliplatin.

Methods Eligible patients with advanced incurable solid tumors were given oxaliplatin 130 mg/m² as a 2-h infusion on day 1 followed by escalating doses of gemcitabine given over 30 min on day 1 and 8 of a 21-day cycle.

Results A total of 43 patients were enrolled, including 30 patients at the MTD in an expanded cohort. At a gemcitabine dose of 800 mg/m², 1/6 patients had a dose limiting toxicity (DLT) (grade 3 blurred vision and memory loss). At 1,000 mg/m², 1/6 patients had a DLT (grade 3 increase in AST). At 1,200 mg/m², 2/3 patients had a DLT (grade 4 thrombocytopenia and grade 3

confusion). The MTD of gemcitabine with 130 mg/m² of oxaliplatin was therefore 1,000 mg/m². The clearances of gemcitabine and ultrafilterable platinum are within the ranges previously reported for single agents. A patient with colon cancer had a partial response, and 21 patients had a best response of stable disease. In patients with tumor biopsies treated at the MTD, decreased ribonucleotide reductase M2 expression correlated with response.

Conclusion Treatment with gemcitabine and oxaliplatin was well tolerated with primarily hematologic toxicity at the MTD. Study of biochemical correlates of response remain of interest although current results remain exploratory.

Keywords Oxaliplatin · Gemcitabine · Ribonucleotide reductase · Pharmacokinetics

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Introduction

Oxaliplatin is a platinum analog of the diaminocyclohexane (DACH) family [29], and based on several recent phase III clinical trials, it has been approved for use in combination with 5-fluorouracil in the first [26] and second line treatment [44] of metastatic colon cancer as well as in the adjuvant setting [5].

Oxaliplatin is of interest in other tumor types due to preclinical data demonstrating a non-overlapping spectrum of activity with cisplatin [36, 42]. The DACH-platinum adducts are more effective at inhibiting DNA synthesis [31, 54] and are more cytotoxic than *cis*-diammine-platinum adducts formed from cisplatin and carboplatin [42, 46, 54]. DACH-platinum adducts also show the ability to retain activity in cases of mismatch repair

mutations associated with cisplatin resistance [2, 20, 21] [41]. Also, enhanced replicative bypass, another mechanism of cisplatin resistance, is not seen with oxaliplatin in either cisplatin resistant or sensitive cell lines [50].

Clinical studies have shown evidence of single agent activity in lung [33], breast [24], and ovarian cancer [11]. Importantly, oxaliplatin has also shown evidence of clinical activity in platinum-refractory patients including those with ovarian cancer [11, 17, 48].

When combined with other cytotoxic agents (5-FU, SN38, cisplatin, carboplatin, taxanes, or gemcitabine), oxaliplatin has additive or synergistic antitumoral effects in various in vitro and in vivo models [19, 41]. Therefore, clinical testing of combination therapy involving oxaliplatin is of significant interest.

Gemcitabine is a cytidine analogue with activity against pancreatic cancer, as well as other solid tumors such as lung, and bladder cancer. It is an inhibitor of ribonucleotide reductase and is also incorporated into DNA with subsequent chain termination and inhibition of DNA repair [37]. It has shown evidence of synergy with cisplatin [8, 51] and oxaliplatin [19] in preclinical studies, which may be due to inhibition of the repair of platinum related intra-strand cross-links. The combination of cisplatin and gemcitabine has demonstrated activity in non-small cell lung cancer [45], bladder cancer [53], breast [13], and pancreatic cancer [12].

Based on this information, we conducted a phase I trial of a combination of gemcitabine and oxaliplatin with the goal of determining a recommended phase II dose. A second important goal was to determine the expression of ribonucleotide reductase-M1, M2 (RRM1/M2), excision repair cross complementation (ERCC1), thymidylate synthase (TS), deoxycytidine kinase (DCK), and dihydropyrimidine dehydrogenase (DPD) in tumor biopsies in an expanded cohort of patients treated at the recommended phase II dose. The levels of messenger RNAs for these genes were correlated in exploratory fashion with clinical outcome.

Patients and methods

Adult patients 18 years of age and older with histologically confirmed incurable malignancies were eligible. Three or fewer previous chemotherapy regimens and radiation to no more than 30% of bone marrow were allowed [16]. Karnofsky performance status $\geq 60\%$, leukocytes $\geq 3,000/\mu\text{l}$, absolute neutrophil count $\geq 1,500/\mu\text{l}$, platelets $\geq 100,000/\mu\text{l}$, total bilirubin within institutional normal limits, and alanine and aspartate transferase levels $\leq 2.5\times$ institutional upper limits of

normal were required as was a normal serum creatinine or a measured creatinine clearance ≥ 60 ml/min. Patients with clinically significant peripheral neuropathy were excluded, as were patients with brain metastasis, platinum allergies, HIV patients on anti-retroviral treatment, and patients with pulmonary fibrosis. Prior chemotherapy or radiation must have been completed at least 4 weeks prior to protocol treatment.

Treatment consisted of a fixed dose of oxaliplatin 130 mg/m² over 2 h on day 1 of a 21 day cycle. Gemcitabine was given following oxaliplatin in escalating doses over 30 min on days 1 and 8. Day 8 dosing was modified based on neutrophil and platelet counts on that day (see Table 1). Patients were evaluated for tolerance using the CTC v. 2.0. In addition, a scale developed by Sanofi-Synthelabo was used to grade and dose adjust for neurotoxicity (see Table 2).

Non-hematologic dose limiting toxicities (DLT) were any treatment-related grade 3 toxicities not reversible to grade 2 or less in 96 h, or a grade 4 toxicity of any duration. Exceptions included grade 3 peripheral neuropathy, which was a DLT only if persistent between cycles, and grade 3 nausea, which was a DLT if IV hydration was required for more than 24 h despite optimal antiemetics. Additionally, grade 3 or 4 diarrhea treated with suboptimal anti-diarrheal medication was not a DLT. Dose limiting hematologic toxicities were defined as grade 4 thrombocytopenia of any duration, or grade 4 neutropenia either lasting for more than 5 days or complicated by neutropenic fever. A treatment delay of ≥ 4 weeks due to toxicity constituted a DLT. Course 1 toxicities were used in determining the maximally tolerated dose (MTD).

Patients were enrolled in cohorts of three. If one DLT occurred at a treatment level, further patients would be entered up to a total of six. If no more than 1/6 patients ultimately experienced a DLT, then further gemcitabine dose escalation would occur. The DLT level was defined as the level at which $\geq 2/6$ patients experienced a DLT. The maximally tolerated dose was defined as the highest level that $\leq 1/6$ patients experienced a DLT.

Oxaliplatin and gemcitabine pharmacokinetics were determined in a subset of patients during the first cycle. Blood samples for ultrafilterable platinum concentrations

Table 1 Day 8 gemcitabine hematologic dose adjustments

AGC ($\times 10^6$)	Platelet	% dose
>1500	>100,000	100%
1000–1499	75,000–99,000	75%
750–999	50,000–74,000	50%
<750	<50,000	Hold

Table 2 Oxaliplatin dose modifications for neurologic toxicity

Toxicity	Duration of toxicity		Persistent ^a between cycles
	1–7 days	>7 days	
Paresthesias/dysesthesias ^b of short duration that resolve and do not interfere with function (Grade 1)	No change	No change	No change
Paresthesias/dysesthesias ^b interfering with function, but not activities of daily living (ADL) (Grade 2)	No change	No change	20% decrease
Paresthesias/dysesthesias ^b with pain or with functional impairment that also interfere with ADL (Grade 3)	First time: 20% decrease second time: 20% decrease	First time: 30% decrease second time: 30% decrease	Stop
Persistent paresthesias/dysesthesias that are disabling or life-threatening (Grade 4)	Stop	Stop	Stop
Pharyngo-laryngeal dysesthesias	No change	Eight duration of infusion to 6 h	Eight duration of infusion to 6 h

^a Not resolved by the beginning of the next cycle^b May be cold-induced

were obtained with the first oxaliplatin dose; prior to treatment, at the end of the 2-h infusion, and then 0.5, 1, 2, 4, 24, and 48 h after the end of the infusion. Plasma ultrafiltrate samples were prepared as previously described [49]. All oxaliplatin assays were performed in the Analytical Pharmacology Core Facility at the City of Hope utilizing a validated atomic absorption spectroscopic assay [14]. The method has a limit of quantitation of 20 ng/ml, and an intra- and inter-day precision and accuracy within $\pm 10\%$. For determination of gemcitabine pharmacokinetics, serial blood samples were collected around doses 1 and 8 at the following times; prior to treatment, then 5, 10, 15, 30, and 60 min after the end of the infusion. Gemcitabine in plasma was determined by HPLC using a minor modification of the method of Freeman et al. [23]. Compartmental pharmacokinetic data analyses of ultrafilterable platinum and gemcitabine were performed using ADAPT II (USC, Biomedical Simulations Resource). Descriptive statistics (mean \pm standard deviation) were calculated for the model-derived PK parameters.

Additional patients were enrolled at the MTD level to allow baseline tumor biopsies prior to treatment for exploratory studies correlating parameters of tumor biology with clinical outcome. Given the exploratory nature of these studies, the goal was for six or more patients with biopsies. Ultimately fresh frozen tumor biopsies from 14 patients treated at the MTD were examined for the expression of RRM1/M2, ERCC1, DPD, TS, DCK and the 18 S ribosome genes. The biopsy specimens were divided: half for pathologic review, and the remainder immediately frozen in liquid nitrogen and stored at -80°C . RNA was isolated using RNazol B (Tel-Test, Inc.) per the

manufacturer's directions. After Dnase I treatment, cDNA was prepared and used for relative quantification of gene expression by real-time polymerase chain reaction (PCR). Primers for target genes were designed according to Applied Biosystems guidelines. Expression of target genes was quantified as a ratio in relation to 18 S with adjustments for differences in PCR efficiency [28].

Results

A total of 43 patients with advanced solid tumors were enrolled (see Table 3). At a level of gemcitabine $1,200 \text{ mg/m}^2$, two of three patients experienced a DLT during the first cycle of treatment. One patient experienced a grade 3 platelet level with a nadir of $18 \text{ K}/\mu\text{l}$ felt to be dose limiting. A second patient experienced grade 3 confusion without evidence of central nervous system metastasis. Subsequently, a cohort of six patients was treated with gemcitabine $1,000 \text{ mg/m}^2$ with one colon cancer patient with liver metastasis experiencing grade 3 liver function test elevation during the first cycle. Five other patients were treated without experiencing a DLT during the first cycle, establishing this level as the MTD and recommended phase II dose.

Other DLTs at lower levels included reversible grade 3 blurred vision and memory loss in a patient treated at 800 mg/m^2 gemcitabine without evidence of central nervous system metastasis. Further evaluation did not detect glaucoma, or change in a baseline cataract, and corrective lenses were prescribed. The only case of febrile neutropenia also occurred in a patient treated at this level.

Table 3 Patient characteristics

Characteristics	Number
Age	
Median(56 year)	
Range(22–84 year)	
Race	
Caucasian	39
Afro-American	1
Asian	3
Gender	
Male	27
Female	16
Diagnosis	
Colorecta	L23
Stomach	4
Pancreatobiliary	2
Melanoma	2
Unknown primary	2
Other	10

An additional 24 patients were enrolled at the MTD level and evaluated for response and tolerance (see Table 4). These patients were enrolled with the goal of obtaining tumor biopsies. Of these patients, only one DLT level toxicity was noted (grade 3 fatigue > 96 h). Grade 3 platelet nadirs were seen in 11/30 patients in 23 treatment courses with platelet transfusions given in seven cases. Three patients had grade 3 thrombocytopenia complicating the first course of treatment. No clinically significant episodes of bleeding were seen.

Grade 3 ANC was seen in 9/30 patients at the MTD in 22 treatment courses. No episodes of grade 4 ANC or neutropenic sepsis were noted. Four patients had grade 3 neutropenia complicating the first course.

Non-hematologic toxicity was moderate with no events greater than grade 3 at the MTD (see Table 4). Neuropathy was relatively uncommon with only two patients experiencing grade 3 acute toxicity after 1 and 3 cycles, respectively. No chronic toxicity was reported. Grade 3 dyspnea was seen in five patients, including four at the MTD. In each case, pulmonary metastatic disease was felt to be the primary factor and two patients received further treatment without incident.

Dose reductions were required in 6/30 patients treated at the MTD with two patients requiring adjustment after cycle 1. The median number of treatments given was three with a range of 1–10. Treatment was stopped after 1 course in four cases, in no case with toxicity greater than grade 2 (two for progressive disease, one for poor tolerance not qualifying as a DLT, and one at the request of the patient). Eleven patients received four or more cycles. Of the 30 patients at the MTD, 18 patients stopped treatment due to progressive disease, with other patients stopping due to cumulative side effects, and for personal reasons.

Among all patients, one patient with colorectal cancer had a partial response by RECIST criteria, and a second patient with bile duct cancer had an unconfirmed partial response. Stable disease was documented in 20 patients, with progressive disease in 17 cases. Four patients were inevaluable for response.

Gemcitabine and unbound platinum pharmacokinetics were evaluated in patients enrolled at each of the dose levels. Estimated secondary pharmacokinetic parameters for gemcitabine following the dose administered on day 1 are summarized in Table 5. Gemcitabine elimination from plasma followed a bi-exponential decay, with mean alpha and beta half-lives of 2.3 ± 0.8 and 19.5 ± 6.7 min, respectively. The mean gemcitabine clearance (CL_{sys}) was 2.2 ± 1 min/m², and was unrelated to dose over the range tested. The average apparent volume of distribution (V_d) was 21 ± 8 l/m². Gemcitabine pharmacokinetics were also determined following doses on days 1 and 8 in a subset of eight patients and no significant differences were observed in the pharmacokinetics on the two different days (data not shown and Fig. 1).

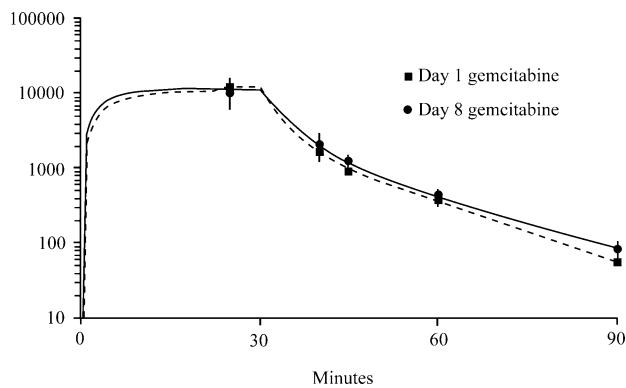
Unbound platinum pharmacokinetics following the first dose of oxaliplatin were determined in 15 patients and the secondary parameters are summarized in Table 6. The mean maximum plasma concentration (C_{max}) measured at the end of the infusion was 1.5 ± 0.5 µg/l. Following the drug administration, free platinum concentrations in plasma were best described by a bi-exponential pattern of elimination, with mean

Table 4 Toxicities at the MTD (Grade 3–4)

Course # (cycles)	1 (30)	2 (26)	3 (15)	4 (11)	5 (9)	6 (8)	7 (4)	8 (4)	9 (2)	10 (2)
Neutropenia	4	4	5	3	2	2	1	2	0	0
Thrombocytopenia	3	5	5	3	3	3	2	1	0	1
Fatigue	5	3	3	2	1	0	0	0	0	0
Neuropathy										
Acute	1	0	1	0	0	0	0	0	0	0
Chronic	0	0	0	0	0	0	0	0	0	0
Nausea/vomiting	3	1	0	1	1	0	0	0	1	0

Table 5 Gemcitabine pharmacokinetics on day 1

Dose level (mg/m ²)	N	C _{max} (μg/l)	AUC (μg/l min)	V _d (l/m ²)	CL _{sys} (ml/min/m ²)	t _{1/2} alpha (min)	t _{1/2} beta (min)
700	3	13.3	422.4	9.8	1,685	1.7	16.5
800	2	7.0	242.6	25.3	3,487	1.9	14.9
1,000	6	17.0	506.9	22.0	2,078	2.1	29.5
1,250	1	20.0	735.3	26.1	1,663	3.5	17.2
			Average	20.8	2,228.2	2.3	19.5
			SD	7.6	860.6	0.8	6.7
			Median	23.6	1,881.4	2.0	16.8

**Fig. 1** Gemcitabine plasma concentration versus time curves following doses administered on days 1 and 8 of the first cycle ($n = 8$ patients). The squares represent the mean data following the dose on day 1 and the circles depict the mean data following the dose on day 8

alpha and beta half-lives of 0.23 ± 0.16 h and 17.1 ± 13.6 h. Average unbound platinum CL_{sys} and V_d were 7.4 ± 3.6 l/h/m² and 106 ± 34 l/m², respectively.

The subset 14 patients with pre-treatment biopsies studied at the MTD, included the patient with partially

responding colorectal cancer (PR), as well as eight patients with stable disease (SD) and six with progressive disease (PD). In total, eight patients in this group had colorectal cancer. Using a standard two-sample *t* test, RR-M2 expression rates across groups were significantly lower in patients with SD versus PD (0.0004 vs. 0.001, $P = 0.05$) (Fig. 2). No significant differences were noted in the expression of other genes, or in the subset of patients with colorectal cancer.

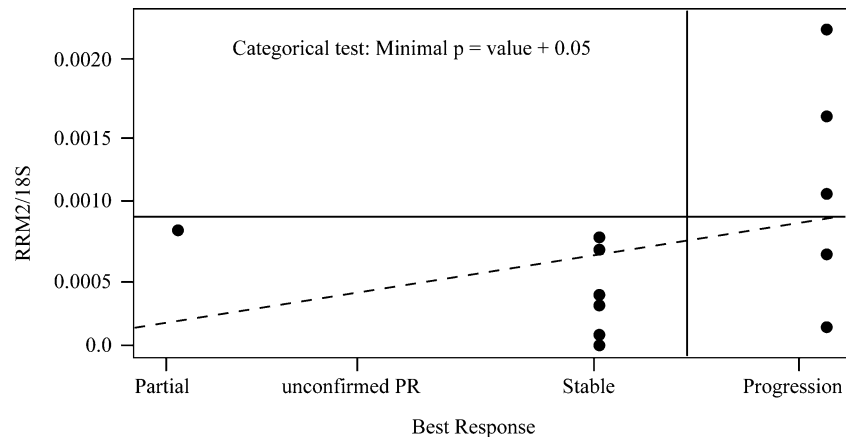
Discussion

Combinations of oxaliplatin and gemcitabine have been studied in the treatment of various malignancies including ovarian [40], biliary [6], testicular [35], mesothelioma [47], renal [38], cervical [15], pancreatic [4, 30], and lung cancer [18, 22]. This study provided further information regarding the combination of oxaliplatin and gemcitabine, and provides information related to biochemical parameters that may affect the efficacy of this combination. Moreover, the pharmacokinetic

Table 6 Unbound platinum pharmacokinetics

Patient #	C _{max} (μg/l)	AUC (μg/l h)	CL _{sys} (l/h/m ²)	V _d (l/m ²)	t _{1/2} alpha (h)	t _{1/2} beta (h)
1	2.2	28.2	4.6	59.3	0.19	12.0
2	1.5	79.8	1.6	144.3	0.24	65.1
3	2.3	35.4	3.7	69.5	0.21	16.9
4	1.0	36.0	3.6	32.9	0.16	12.5
5	2.2	19.3	6.7	76.8	0.19	13.0
6	2.0	19.4	6.7	88.1	0.17	14.2
7	1.3	12.8	10.1	116.0	0.18	12.0
8	1.0	13.8	9.4	156.1	0.16	15.0
9	0.7	7.8	16.8	115.7	0.80	6.2
10	1.6	16.1	8.1	104.3	0.17	13.4
11	1.5	18.7	6.9	124.0	0.20	17.3
12	1.4	20.7	6.3	124.8	0.19	17.5
13	1.3	13.9	9.4	134.3	0.28	14.7
14	1.4	15.6	8.3	120.3	0.21	14.4
15	1.1	13.9	9.4	124.9	0.18	12.0
Average	1.5	23.4	7.4	106.1	0.23	17.1
SD	0.5	17.5	3.6	34.1	0.16	13.6
Median	1.4	18.7	6.9	116.0	0.19	14.2
Low	0.7	7.8	1.6	32.9	0.17	6.2
High	4.8	79.8	16.8	144.3	0.80	65.1

Fig. 2 Response in patients treated with oxaliplatin and gemcitabine at the maximally treated dose was correlated with RR-M2 expression. Lower expression was found to correlate with stable disease and response in comparison to progressive disease



data presented here are consistent with single agent data [1, 27, 52], as well as with previous reports of the combination [18, 32], suggesting that drug–drug interactions between oxaliplatin and gemcitabine are minimal. Hematologic changes were the primary toxicity at the MTD. No episodes of grade 4 toxicity were seen, and only one episode of neutropenic fever was noted. Grade 3 fatigue was seen in approximately 1/3 of patients at the MTD, but repeated treatment was possible despite this, indicating that symptoms were not progressive.

Dyspnea was seen in several patients. Pulmonary toxicity, which can be severe, has been reported with gemcitabine [34] and cases of interstitial fibrosis have rarely been reported with oxaliplatin. However, none of the cases appeared due to this and instead appeared disease related. No clear instances of oxaliplatin related laryngopharygo dysesthesias, which clinically may present as dyspnea, were documented.

Neuropathy is a common complication with oxaliplatin treatment [10]. Both acute and chronic dose-related neuropathy are seen. Grade 3 acute neuropathy was noted in two cases, which were managed by dose reduction. Lack of significant chronic neuropathy is related to the relatively short median duration of treatment. Previous reports suggest that chronic neuropathy becomes more significant with cumulative doses greater than 800 mg/m².

Several previous phase I trials have studied the combination of oxaliplatin and gemcitabine. In one report, gemcitabine at 1,800 mg/m² on days 1 and 8 with oxaliplatin on day 8 was reported as the DLT level defined by hematologic toxicity and asthenia. The recommended phase II dose was gemcitabine 1,200–1,400 mg/m² on days 1 and 8 and oxaliplatin 130 mg/m² on day 8. Cumulative hematologic toxicity was not reported and grades 2 and 3 neurotoxicity was the primary cumulative non-hematologic toxicity. Ten partial responses were reported among 56 patients evaluable for response [32].

Another study recommended a phase II dose of gemcitabine 1,500 mg/m² with oxaliplatin 85 mg/m² every 2 weeks [18]. No specific DLTs were noted at any level, and the recommended dose was based on achievement of therapeutic levels of each drug. Hematologic toxicity was mild with no episodes of neutropenic sepsis reported with two treatment delays were needed for grades 3–4 thrombocytopenia. Asthenia and neurologic toxicity were the primary cumulative non-hematologic toxicities. Eleven of 33 patients with non-small cell lung cancer treated across a range of doses had an objective response.

A phase I trial focusing on non-small cell lung cancer used a fixed dose of gemcitabine 1,250 mg/m² on day 1 and 8 of a 21 day cycle with oxaliplatin 130 mg/m² given on day 1 at the MTD. The primary toxicities were hematologic with no significant neurotoxicity reported. All patients were pre-treated and 2/15 had a partial response [9].

A report focusing on pancreatic cancer recommended a phase II dose of gemcitabine 1,000 mg/m² on day 1 and 8 with oxaliplatin 100 mg/m² on day 1 of a 21-day schedule [3]. The DLT level occurred at a gemcitabine dose of 1,250 mg/m² and consisted of grade 4 neutropenia and neutropenic fever complicated by a fatal myocardial infarction. Grades 3–4 neutropenia occurred in 2/6 patients at the MTD but did not prevent repeated cycles. Only sporadic grade 3 non-hematologic toxicities were noted. Three of 18 patients showed evidence of a response including one with a complete response.

Gemcitabine 1,000 mg/m² at a rate of 10 mg/m² on day 1 with oxaliplatin 100 mg/m² on day 2 over 2 h repeated every 2 weeks (GEMOX) was compared to bolus gemcitabine alone in patients with advanced pancreatic cancer [30]. An improved response rate (26.8 vs. 17.3%, $P = 0.04$), progression free survival (5.8 vs. 3.7 m, $P = 0.04$), and clinical benefit (38.2 vs. 26.9%, $P = 0.03$) were reported. The ECOG-6201 trial was

recently presented and although there was a trend toward improvement in overall survival with infusional gemcitabine and GEMOX versus bolus gemcitabine, this was not statistically significant [39]. The routine use of GEMOX or infusional gemcitabine is therefore not supported in advanced pancreatic cancer.

The recommended phase II dose of gemcitabine is combined with 130 mg/m² is somewhat lower than that recommended in other studies (1,000 mg/m² vs. 1,200–1,400 mg/m²). Given the infrequency of grade 4 and DLT level toxicities at the MTD, it is possible that a modest increase in the gemcitabine dose would be possible. The number of grade 3 and 4 hematologic toxicities suggests that marked further dose escalation would not be possible.

As a group, it appears that patients, who had evidence of benefit, albeit primarily with stable disease, had a lower expression of RR-M2. This conclusion is limited by the small number of patient samples, and the heterogeneous nature of the samples. Although preliminary, this result is of interest because preclinical studies have suggested that RR-M2 levels correlate with resistance to gemcitabine [25]. Clinical studies have suggested a role for ribonucleotide reductase and specifically that RR-M1 levels may correlate with response to gemcitabine in patients with non-small cell lung cancer [7, 43]. In addition, dNTP pool size changes associated with inhibition of RR-M2 may affect repair to oxaliplatin related DNA adducts [55].

In conclusion, the regimen reported in this study is well tolerated with primarily hematologic toxicity. Further study of biochemical correlates including RR-M2 may assist with determining patients most likely to respond to benefit from this treatment.

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